

**TITLE XXXVI  
TRIBAL GOVERNMENT**

**CHAPTER 1.**

**CODE OF CONDUCT FOR ELECTED OFFICIALS ..... 1**

§36-1-1 Authority ..... 1

§36-1-2 Short Title ..... 1

§36-1-3 Purpose..... 1

§36-1-4 Policy ..... 1

§36-1-5 Definitions ..... 1

§36-1-6 Standards of Conduct..... 2

§36-1-7 Improper Conduct..... 4

§36-1-8 Investigation and Hearing Procedures ..... 5

§36-1-9 Sanctions ..... 7

§36-1-10 Tribal Council Review..... 8

## **CHAPTER 1. CODE OF CONDUCT FOR ELECTED OFFICIALS**

### **§36-1-1 Authority**

Pursuant to the authority vested in the Mississippi Band of Choctaw Indians by its Revised Constitution and Bylaws and particularly by Article VIII, Section 1(c) of the Constitution, the Tribal Council of the Mississippi Band of Choctaw Indians hereby enacts this Code of Conduct for Elected Officials establishing rules and procedures for the conduct of all Tribal government and business operations and to establish general procedures to regulate conflict of interest and nepotism.

### **§36-1-2 Short Title**

This Code shall be known as the “Code of Conduct for Elected Officials.”

### **§36-1-3 Purpose**

- (1) Elected Officials are elected to represent and act on behalf of the Tribal membership and the Mississippi Band of Choctaw Indians with integrity and in a professional and dignified manner. The purpose of this Code is to maintain and protect this solemn obligation by outlining the ethical obligations of Elected Officials, to define the standards of conduct applicable to Elected Officials, and to set forth the consequences for violating these obligations.
- (2) While this Code is intended to describe appropriate standards of conduct, all Elected Officials are expected on their own to conduct themselves in a professional and dignified manner so as to not bring shame or embarrassment to themselves, the Tribal government, and Tribal members.
- (3) Nothing in this Title shall be construed to limit the jurisdiction or authority of the Tribal Chief and the Tribal Council under the Revised Constitution and Bylaws, other Tribal law or policy, or Federal law. Nothing in this Code shall be deemed or construed as a waiver or limitation of the sovereign immunity of the Tribe, its agencies, officers or employees.

### **§36-1-4 Policy**

It is the policy of the Tribe that Elected Officials shall maintain the highest standards of honesty, integrity, fairness, and impartiality in their conduct, and they shall avoid any actions that would adversely reflect on the Tribal government and Tribal members. Elected Officials shall take action in the best interest of the Tribe and the tribal membership, not in their personal interest. Elected Officials shall not engage in behavior involving a conflict of interest, or acts that involve actual or apparent impropriety, as defined in this Code.

### **§36-1-5 Definitions**

The following definitions apply in this Code:

- (1) “Actual or Apparent Improprieties” shall mean those acts involving an actual impropriety (such as illegal acts) while serving on behalf of the Tribe; acts involving an abuse of power; acts involving dishonest or reprehensible conduct; or acts that would do a disservice to the Tribe’s reputation.

- (2) “Committee Procedures” shall mean the Tribal Council Committee Systems Procedure, as amended.
- (3) “Conflict of Interest” shall mean: i) action or conduct by an Elected Official that is in conflict with the Elected Official’s obligation to take actions in the best interest of the Tribe and the Tribal membership; or ii) when the Elected Official, or his/her Immediate Family, has or may foreseeably develop a personal or financial interest that is adverse to the Tribe.
- (4) “Elected Official(s)” shall mean the Tribal Chief and all members of the Tribal Council, either elected to office or appointed to replace an elected office.
- (5) “Expense Policy” shall mean the Tribal Council Expense Allowance Policies, as amended.
- (6) “Immediate Family” shall mean husband, wife, domestic partner, child (including a step-child or adopted child), grandchild, brother, sister, mother, father, grandmother, grandfather, or any aunt, uncle, niece and nephew who is related to the Elected Official within the 3rd degree according to the Nolan Chart of Relationships and Degrees of Kindred. For purposes of this definition, “domestic partner” means an individual involved in a romantic relationship with the Elected Official and who lives together with the Elected Official and shares a common domestic life, but are not married.
- (7) “Personnel Policy” shall mean the MBCI Administrative Personnel Policy & Procedures, as amended.
- (8) “Tribal employee” shall mean any individual employed by the Mississippi Band of Choctaw Indians, whether a part of the Tribal Government, Tribal Business Enterprise, or any independent Tribal agency or regulatory body.

**§36-1-6 Standards of Conduct**

The expectation for Elected Officials to adhere to professional and ethical conduct goes beyond what can be outlined in this Code. Notwithstanding that expectation, the following standards of conduct and prohibitions against conflict of interest situations apply to Elected Officials.

- (1) Elected Officials shall not take any official action, whether in a Tribal Council meeting, committee meeting, or otherwise, in which they, or a member of his or her Immediate Family, have a direct personal or financial interest that could be affected by such action.
- (2) Elected Officials shall refuse any illegal offers, solicitations, payments, or other payment to induce business opportunities for themselves or their Immediate Family. Elected Officials must also refuse any offer that has the appearance of being an illegal or inappropriate offer, solicitation, payment, or remuneration.
- (3) Elected Officials shall attend all required meetings that have been properly called by the appropriate official. For Tribal Council members, one unexcused absence may constitute a violation of this Code and may subject that Tribal Council member to disciplinary procedures under Sections 8 and 9 of this Code. If a Tribal Council member is unable to attend a properly called meeting, that person should notify in advance the official who called the meeting of his or her inability to attend the meeting to be properly excused. A

“no call, no show” by a Tribal Council member for a properly called meeting is considered an unexcused absence. A “required meeting” under this subsection means a Regular Call or Special Call Tribal Council meeting or a Committee meeting to which a Tribal Council member is assigned.

- (4) Elected Officials shall perform their duties by making informed decisions in good faith and in a manner believed to be in the best interests of the Tribe.
- (5) Other than the authority to be exercised by the Tribal Council or Committee of the Tribal Council under the Tribe’s Personnel Policy, Tribal Council Members shall not use their status as elected officials to interfere with Executive Branch employee decisions. The term “interfere” does not apply to general inquiries that may be made by Tribal Council members to the appropriate Executive Branch official.
- (6) Elected Officials shall not engage in any personal investments that may be presented to them or that they become aware of as a result of their position in the Tribe.
- (7) Notwithstanding Indian preference laws and policies of the Tribe, Elected Officials and any Immediate Family member of an Elected Official (individually and through any business entity in which the Elected Official or his or her Immediate Family member has a financial or ownership interest) shall not contract or subcontract with or otherwise conduct business of any kind for goods or services with the Tribal Government or any entity of the Tribe. Any current contract, subcontract, or other business relationship prohibited under this subsection shall cease, terminate, and be considered by the Tribe to be void within ninety (90) calendar days after the effective date of this Code.
- (8) An Elected Official shall not accept on his or her behalf, or on behalf of their Immediate Family, individual gifts, money, or gratuities, which includes meals:
  - (a) From persons receiving benefits or services under any program financially assisted by the Federal Government or by the Tribal Government;
  - (b) From any person or agency, including lobbyists, performing services under contract, including subcontracts, with the Tribe, and;
  - (c) From persons who are otherwise in a position to benefit from the actions of any Elected Official.

Gifts, rewards, or favors with a value of \$25.00 or less are excluded from this prohibition. Nothing in this Section is intended to prohibit Elected Officials from meeting over meals paid for with Tribal funds in furtherance of Tribal business.

- (9) Elected Officials shall not knowingly make public (including any social media platforms) any confidential information received in connection with one’s duties as an Elected Official, including but not limited to:
  - (a) Matters discussed during executive sessions of the Tribal Council or a committee of the Tribal Council;
  - (b) Matters protected as confidential under Tribal, Federal, or State law;

- (c) Information given to a tribal official or employee with the reasonable expectation that such information would be kept confidential.
- (10) Elected Officials shall refrain from outbursts, disruptive acts or argument, or generally failing to adhere to and abide by any rulings of the Tribal Chief, sitting as Chair, during any official Tribal Council meeting.
- (11) Elected Officials shall not act in violation of any Tribal law or policy including, without limitation, the Committee Procedures and the Expense Policy.

**§36-1-7 Improper Conduct**

Improper conduct prohibited under this Code includes, but is not limited to, the following:

- (1) Abuse of power, such as using one's position to coerce, threaten, intimidate, or improperly influence a Tribal member, Tribal employee, or vendor to provide personal, financial or political benefit to oneself or Immediate Family, or someone they have business or financial ties to, or for any other purpose, or using one's official title in Tribal government to conduct personal business;
- (2) Unauthorized participation or involvement in any Tribally owned or operated enterprise operations, including, but not limited to, business, employment, and personnel actions or decisions;
- (3) Engaging or using threatening or intimidating actions, words, or behavior directed at any Tribal employee (including on any social media platform, texts, or emails) to coerce the employee to reveal work-related information to the Elected Official outside the appropriate methods of communication or to try to direct any Tribal employee not under the authority of the Elected Official to perform any function or respond to their demands;
- (4) Intoxication or under the influence of illegal drugs while conducting official Tribal business or on business trips paid for by Tribal funds (whether during or after working hours);
- (5) Misappropriation or misuse of Tribal funds or property;
- (6) Unauthorized disclosure, concealing, removing, mutilating, or destroying Tribal records, or copying Tribal records for improper purposes;
- (7) Committing perjury or fraud, including signing and submitting documents with false information;
- (8) Violating the Standards of Conduct or prohibitions against conflict of interest situations established in this Code or any of the other Tribal laws, ordinances, Codes, resolutions, rules, regulations or policies;
- (9) Use of any electronic device (whether Tribally-issued or not) to record any official meeting, conference, or seminar held on Tribal lands unless permission is granted from all those in attendance, or;

- (10) Any other act prohibited by Tribal, Federal or State law that has a direct impact on the ability of the Elected Official to carry out his or her official duties.

**§36-1-8 Investigation and Hearing Procedures**

- (1) The Tribal Council Officers shall constitute the Hearing Panel (the “Panel”) and shall be responsible for investigating and conducting hearings of any alleged violations by an Elected Official under this Code. The Tribal Council Officers shall consist of: the Vice-Chief, the Secretary-Treasurer, and the Committee System Coordinator. However, if any of the Tribal Council Officers is the subject of a complaint, that Officer shall be replaced with the Chairperson of the Judicial Affairs and Law & Order Committee, with the alternate being the Chairperson of the Congressional and Governmental Affairs Committee. The Vice-Chief (or any replacement for that position) shall serve as the Chair of the Panel. The Office of the Attorney General (the “Attorney General”) will represent the Tribe and will present complaints to the Panel under the procedures outlined in this Section.
- (2) Any violation of this Code shall be described in a written complaint, including the name of the Elected Official, the names of any witness(es), date(s), time(s), and the section(s) of this Code that is alleged to have been violated. A claim in a complaint will not be valid and must be dismissed if the claim is based on an alleged violation that has occurred more than one hundred eighty (180) calendar days prior to the filing of the complaint. Complaints shall be processed in the following manner which afford the subject of the complaint all due process protections and that are based on alleged violations that occur or exist after enactment of this Code:
  - (a) A written complaint shall be submitted to the Attorney General and the Panel by the Tribal Chief, any Council Member, Tribal Member, or Tribal employee who believes that an Elected Official has engaged in any action in violation of this Code. The complaint shall be signed under penalty of perjury and shall identify the Elected Official against whom a complaint is being made, and shall specify the conduct that is alleged to be in violation of this Code. If an allegation involves action that allegedly wronged a person, the person against whom the improper conduct allegedly occurred can only make the complaint. The Elected Official that is the subject of the complaint shall be provided with a copy of the written complaint.
  - (b) The Panel shall perform an initial review in executive session of the allegation and complaint. Such review shall take place and a determination under Subsection (2)(C) made within fifteen (15) business days after the complaint has been submitted. The purpose of the initial review shall be to determine whether the allegation(s) falls within the scope of this Code and whether, assuming the facts alleged are true, said facts would support a determination of being in violation of this Code. The Panel may seek the advice and counsel of the Tribal Council’s attorney and the Attorney General. The Elected Official against whom the allegation is made shall not participate in the initial review.
  - (c) If the Panel, upon completion of the initial review, determines that the allegation falls within the scope of this Code and alleges facts which, if true might support a determination of being in violation of this Code, the Panel, by majority vote (vote can only be made out of executive session), may refer the allegation for investigation. However, if the Panel determines that the allegations do not fall

within the scope of this Code, or the facts, even if true, do not support a determination of being in violation of this Code, the Panel, by majority vote, may dismiss the complaint and immediately notify the complainant and the Elected Official who is the subject of the complaint.

- (d) Any investigation may be conducted by the Chair of the Panel or by an independent, outside investigator appointed by the Panel. Any findings of the investigator shall be reported to the Chair of the Panel so that a formal written report can be submitted by the Chair under Subsection 2(F).
- (e) The Chair of the Panel or its investigator shall have the authority to take testimony under oath, to issue subpoenas, and to compel the production of documents and other evidence. The Elected Official against whom an allegation is made, his/her legal counsel, the complainant, members of the Council, the Tribal Chief, and the Attorney General shall have the right to be present when sworn testimony is given. The Elected Official against whom an allegation is made shall have the right to submit a written response to any allegations. Any such response shall be submitted to the Panel no later than five (5) business days prior to any hearing set under Subsection 2(H).
- (f) Any investigation performed under this Code shall be completed within twenty (20) business days of the determination by the Panel under Subsection (2)(C) by the issuance of a written report from the Chair of the Panel to the Panel and the Attorney General which summarizes the evidence, gives an opinion on the issue of whether the allegation is true or false and, if said opinion is that the allegation is true, recommends a sanction, if any, to be imposed on the Elected Official. After the investigation, if the Chair of the Panel determines that the allegations are false or do not have any merit, the Chair shall immediately notify the Panel and the Panel, by majority vote, may dismiss the complaint and immediately notify the complainant and the Elected Official who is the subject of the complaint.
- (g) Upon receipt of the investigation report that does not recommend dismissal, the Panel shall: (i) Send notice, by certified mail, to the Elected Official accused of the violation and the complainant, and; (ii) Set a hearing date and time not less than ten (10) business days and not more than thirty (30) business days from the date of the notice. A copy of the investigation report shall be included with such notice, along with a statement declaring that the report shall be kept confidential and not disclosed to any other person except the Elected Official's legal counsel, if any.
- (h) The Panel shall hold a hearing in a session open to the public and shall be recorded. The results of the investigation, if any, shall be presented to the Panel by the Attorney General. The Panel may hear from any witnesses presented by the complainant and the Elected Official who is the subject of the complaint. The Panel may seek the advice and counsel from the Tribal Council's attorney and the Attorney General. The Elected Official shall be given all opportunity to present a defense against any of the allegations. The Rules of Evidence applicable to civil matters provided in Title VI of the MBCI Code shall be applied in the hearing. The Elected Official member may use legal counsel of his/her choosing, except no attorney with Choctaw Legal Defense shall be used as defense counsel in any of

these proceedings or for any appeal. At the conclusion of the hearing, the Panel shall review the evidence and consider a decision and sanction, if any.

- (i) The Panel shall issue a written decision within ten (10) business days after the hearing to determine whether a violation under this Code has occurred. Said decision shall be made by a majority vote. If the Panel decides that a violation has occurred, the Panel shall then decide the appropriate sanction, if any, to impose on the Elected Official who engaged in the conduct. All documents and filings, including the recording of the hearing, shall be maintained as a formal record of the proceedings that may be subject to review by the full Tribal Council under Section 10 of this Code.

### **§36-1-9 Sanctions**

The Panel may impose a sanction(s) against any Elected Official who is found to have engaged in improper conduct or other act deemed to be in violation of this Code. Such sanctions may include one or more of the following:

- (1) Issuance of a public Reprimand, which is defined as a public censure or condemnation and shall be publicized in the Tribal Community newspaper for two (2) consecutive publication periods and posted on the Tribal website and Facebook page for one (1) month with the name of the Elected Official, a full description of the violation (while maintaining the confidentiality of other individuals and information required by law to remain confidential), and the finding of the Panel;
- (2) A monetary fine of \$1000.00 per violation, which will be imposed only after review and affirmation of the Panel's decision by the full Tribal Council or until the time for seeking Council review has passed with no request for review by the Elected Official. Payment of the fine may be accomplished through deductions from the salary of the Elected Official and shall be deposited back to the Tribe's general revenue account. This Section is not intended to limit the Tribe's ability to impose additional civil or criminal liability against the Elected Officials, if warranted, and this Section does not restrict or limit the Committee System Coordinator from imposing any appropriate discipline against Tribal Council members;
- (3) A temporary suspension of the Elected Official's ability to receive reimbursements for any business-related travel under the Expense Policy or other applicable policy of MBCI;
- (4) Suspension without pay of a Tribal Council member's duties as Chairman of his or her committee for a determined period of time not to exceed two months per violation;
- (5) A temporary suspension of the Elected Official's use of his or her official expense allowance under the Expense Policy or other applicable policy of MBCI;
- (6) Suspension of using any Tribally-issued mobile phone, Ipad, or other equipment, or;
- (7) Any combination of the above sanctions depending on the severity of the violation(s). Tribal Administration, including but not limited to, the Office of Finance and Accounting, is authorized to enforce by applicable and appropriate means any of the above sanctions

imposed by the Panel, but only after all appellate remedies under Section 10 have been exhausted by the Elected Official.

**§36-1-10 Tribal Council Review**

- (1) Any Elected Official who is found by the Panel to have violated this Code and against whom the Panel has imposed sanctions shall have the right to request a review of such determination to the full Tribal Council to be heard at the next Regular Call meeting as part of the meeting agenda, as long there is at least thirty (30) calendar days between the date of filing of the request for review and the date of the Regular Call meeting; otherwise, the review hearing may be held at the next Regular Call meeting. A Special Call meeting, however, may also be established for review of the Panel's decision. The Office of the Attorney General will represent the interests of the Tribe and will defend the Panel's decision, including any sanction(s) imposed, in any appeal.
- (2) The Tribal Council's review in such matters is limited to a determination of whether or not the action taken by the Panel was arbitrary and capricious or in violation of the Tribe's Constitution. The Tribal Council's decision will be in writing and based on a majority vote of the Council to affirm or reject the Panel's decision, including the sanctions to be imposed. All Tribal Council members in attendance at the meeting may participate in the vote.
- (3) The request for review by the full Tribal Council must be filed with the Office of the Tribal Council by the Elected Official that is the subject of the Panel's decision within thirty (30) calendar days of the Panel's determination. Any untimely filing by the Elected Official under this Section shall result in the request being denied with no further review by the Tribal Council or any Court. No sanction imposed by the Panel may be enforced until the time limit to appeal has passed or until the conclusion of any review by the Tribal Council.
- (4) The Tribal Council shall conduct a review of the Panel's decision using the record established by the Panel. No additional testimony or evidence shall be presented to the Tribal Council. The Tribal Council shall issue a written decision within sixty (60) business days after the review meeting by the Tribal Council and shall be final.